



County of Union, Illinois  
Office of the Chief Information Officer  
309 W. Market—Room 115  
Jonesboro, IL 62952

Rollie Hawk, CIO  
(618) 925-2470  
cio@unioncountyl.gov  
@unioncountycio

November 17, 2017

[sent via email]

Marianne Duffey  
PricewaterhouseCoopers LLP

marianne.r.duffey@pwc.com

Dear Ms. Duffey:

Please consider this our response to your attached Freedom of Information Act request, received via email on October 18, 2017 and summarized below:

*Fiscal records concerning all unclaimed, undeliverable, overdue and/or outstanding obligations in the amount of \$1000.00 or greater.*

Please find attached records responsive to your request.

We consider your request completed. If I may be of further assistance, please let me know.

Sincerely,

Rollie Hawk, Chief Information Officer

Enclosure

Cc: Tyler R. Edmonds, State's Attorney  
Darren M. Bailey, Treasurer

# FOIA REQUEST - PwC's Request for Records - County of Union, IL - #1233

**From:** Marianne Duffey (US - TAX) <marianne.r.duffey@pwc.com>  
**To:** cio@unioncountyil.gov  
**Cc:** Sean Kim (US - TAX) <sean.kim@pwc.com>  
**Subject:** FOIA REQUEST - PwC's Request for Records - County of Union, IL - #1233  
**Date:** Wednesday, October 18, 2017 1:03 PM  
**Size:** 215 KB

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Good afternoon,

Pursuant to the state open records law 5 Ill. Comp. Stat. 140/1 to 140/11.5, on behalf of PricewaterhouseCoopers LLP ("PwC"), I am writing to request copies of the County of Union's fiscal records concerning all **unclaimed, undeliverable, overdue and/or outstanding funds or obligations, (i.e. deposits or obligations NOT currently held by your State's Unclaimed Property Department)** in the amount of \$1,000.00 or greater, currently held in accounts managed by the County of Union, including any and all:

1. Checks or warrants issued by the County of Union for payments on obligations incurred by any County of Union agency, department, office, court, college or other authorized authority that have remained outstanding for a period of six months or longer; AND the payee retains the right to claim the funds. (i.e. The payment has not been replaced, was not issued in error, and/or the obligation to the payee has not been voided by law.)
2. Called, matured, and/or currently redeemable municipal bonds or other securities issued by any County of Union agency, and any outstanding or uncashed dividend payments associated with these securities.
3. Amounts on deposit with the County of Union that are held in trust for recipients whose whereabouts are unknown, including instances in which payment was never attempted, payment was never requested, and/or instances when payments were returned as undeliverable. Such amounts may relate to (but are not limited to) tax refunds, tax overpayments, cash deposits, cash escrows, cash securities, performance bonds, sheriffs bonds, eminent domain, matured government bonds, real estate foreclosures, vendor payments, restitution payments, proceeds from public sales of lost property, unsuccessful electronic fund transfers, and/or lost heir accounts.

PwC is only interested in receiving records pertaining to non-natural persons (businesses). PwC is not seeking any records which could invoke a personal privacy exemption. Please also note, that none of the information requested by PwC will be used to solicit owners or third parties.

Ideally, the released records should include the amount held on behalf of the payee/recipient, any available identifying information regarding the recipient of the funds, the date associated with the obligation, and information indicating either the account in which the funds are held or the nature of the payment. Additionally, if the County of Union issued a check that was not negotiated, please provide the check number, the check date, and the address where the payment was sent, if available.

Responsive documents may include the following:

- Stale Dated/Un-cashed Checks Report/Register

- Check/Warrant Reconciliation Report/Register
- Unclaimed Checks Report
- Bond History Log
- Reimbursable Bond Report
- Performance Bond Deposit Report/List
- Active/Open Cash Deposit List
- Property Tax Overpayment Log/Ledger
- Property tax refund log/ledger

Kindly provide this information to me, preferably in Excel, Adobe Acrobat, or Word format, via email to [marianne.r.duffey@pwc.com](mailto:marianne.r.duffey@pwc.com). If your agency does not maintain these public records and/or you are not the custodian of these public records, please either forward our request to the appropriate agency(ies)/person(s) in possession of the documents we are seeking, or otherwise please provide me with the proper custodian's name and email address. (Agencies/Departments which may be in possession of the documents we are requesting include but are not limited to the Clerk, Treasury, Finance Department, Accounting Department, Auditor, Controller, Tax Collector, Public Works, Building Department, Sheriff, Comptroller, and Court(s)).

Please notify me if there are any costs associated with fulfilling this request.

As provided by the open records law, I will expect your response within a reasonable timeframe. If you choose to deny this request, please provide a written explanation for the denial including a reference to the specific statutory exemption(s) upon which you rely. Additionally, please provide all segregable portions of otherwise exempt material.

If you have any questions, please do not hesitate to contact me at [marianne.r.duffey@pwc.com](mailto:marianne.r.duffey@pwc.com). Thank you for your time and assistance.

Sincerely,

**Marianne R. Duffey**

PwC | PA

Office: 617 530 4071

PricewaterhouseCoopers LLP

Corporate Asset Recovery

101 Seaport Boulevard

Boston, MA 02210

Email: [marianne.r.duffey@pwc.com](mailto:marianne.r.duffey@pwc.com)

[www.pwc.com](http://www.pwc.com)

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The content of this email is limited to the matters specifically addressed herein and is not intended to address other potential tax consequences or the potential application of tax penalties to this or any other matter.

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Union FOIA\_Request.pdf 137 KB



## County of Union, Illinois Freedom of Information Act (FOIA) Request Form

Date Requested: \_\_\_\_\_

Request Submitted By: \_\_\_\_\_

Street Address: \_\_\_\_\_

City/State/ZIP: \_\_\_\_\_

Telephone (optional): \_\_\_\_\_ E-mail (optional): \_\_\_\_\_

Fax (optional): \_\_\_\_\_

Records Requested (please be as specific as possible; attach additional pages if needed):

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

Is this request for a Commercial Purpose?      YES                      NO

*(It is a violation of the Freedom of Information Act for a person to knowingly obtain a public record for a commercial purpose without disclosing that it is for a commercial purpose, if requested to do so by the public body under 5 ILCS 140.3.1(c))*

Are you requesting a fee waiver?      YES                      NO

*(If you are requesting that the public body waive any fees for copying the documents, you must attach a statement of the purpose of the request, and whether the principal purpose of the request is to access or disseminate information regarding the health, safety and welfare or legal rights of the general public under 5 ILCS 140/6(c)).*

Please retain a copy of this form for your records.

Submit the original to one of our FOIA officers:

Rollie Hawk Union County Chief Information Officer 309 West Market Room 115 Jonesboro, IL 62952  cio@unioncountyiil.gov  (618) 833-8248	Tyler Edmonds Union County State's Attorney 309 West Market Room 239 Jonesboro, IL 62952  ucsainfo@unioncountyiil.gov  (618) 833-7216
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**Company Name:** County Of Union, Illinois  
**Report Name:** Check Register  
**Created On:** 11/17/2017

<b>Bank</b>	<b>Date</b>	<b>Transaction Date</b>
<b>GENERAL FUND - ANNA JONESBORO NATIO</b>	<b>Account No: 1001158</b>	
	4/24/2017	4/19/2017
	<b>Total for 04/24/2017</b>	

Transaction No	Payee
531	1353--JOHN HEIDINGSFELDER

GL account/Account label	Method	Document No	Amount
5323--AUTOPSIES	Printed Check	8208	1,760.00



Amount Applied	Cleared	Memo	Department
1,760.00	<i>In Transit</i>	AUTOPSY FEES	09--CORONER
<hr/> 1,760.00			

**Fund**

*101--GENERAL FUND*